

SOUTH CENTRAL BEHAVIORAL HEALTH REGION
FY21 ANNUAL SERVICE & BUDGET PLAN



SUBMITTED
4/28/2020

GEOGRAPHIC AREA: *Appanoose, Davis, Mahaska and Wapello*
APPROVED BY ADVISORY BOARD: 4/27/2020
APPROVED BY GOVERNING BOARD: 4/27/2020

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Introduction

South Central Behavioral Health Region (SCBHR) was formed under Iowa Code Chapter 28E to create a Mental Health and Disability Service (MHDS) Region in compliance with Iowa Code 331.390. The annual service and budget plan is a component of the Management Plan which includes three parts: Annual Service and Budget Plan, Annual Report and Policies and Procedures Manual in compliance with Iowa Administrative Code 441.25.

The FY2021 Annual Service and Budget Plan covers the period of July 1, 2020 to June 30, 2021. The Annual Service and Budget Plan includes local access points, targeted case management providers, crisis services, intensive mental health services, a description of the scope of services to be provided including core services, budget and financial provisions, and provider reimbursement provisions.

Description of governing board and advisory boards provided in Appendix A attached.

A. Local Access Points

An access point is a part of the SCBHR service system trained to complete MHDS regional applications for persons with a disability.

SCBHR has designated the following access points for mental health and disability services.

Access Point	Location	Contact Information
Appanoose County Community Services Office	209 E Jackson Street, Centerville Iowa, 52544	1-641-856-2085 Website: www.scbhr.org
Davis County Community Services Office	712 S. West, Bloomfield Iowa 52537	1-641-664-1993 Website: www.scbhr.org
Mahaska County Community Services Office	301 1st Avenue E., Oskaloosa, IA 52577	1-641-673-0410 Website: www.scbhr.org
Southern Iowa Mental Health Center	1527 Albia Road, Ottumwa Iowa 52501	Website: https://www.simhcottumwa.org/ 641-682-8772
Wapello County Community Services Office	102 E. Main, Ottumwa Iowa 52501	1-641-683-4576 Website: www.scbhr.org

SCBHR has designated the following access points for children's behavioral health services.

Access Point	Location	Contact Information
Appanoose County Community Services Office	209 E Jackson Street, Centerville Iowa, 52544	1-641-856-2085 Website: www.scbhr.org
Davis County Community Services Office	712 S. West, Bloomfield Iowa 52537	1-641-664-1993 Website: www.scbhr.org
Mahaska County Community Services Office	301 1st Avenue E., Oskaloosa, IA 52577	1-641-673-0410 Website: www.scbhr.org
Wapello County Community Services Office	102 E. Main, Ottumwa Iowa 52501	1-641-683-4576 Website: www.scbhr.org

B. Service Coordination and Targeted Case Management

The following agencies provide service coordination and/or targeted case management for SCBHR.

Service Coordination Provider	Adult/Child/Both	Location	Contact Information
Appanoose County Community Services Office	Adult/Children	209 E Jackson Street, Centerville Iowa, 52544	1-641-856-2085 Website: www.scbhr.org
Davis County Community Services Office	Adult/Children	712 S. West, Bloomfield Iowa 52537	1-641-664-1993 Website: www.scbhr.org
Mahaska County Community Services Office	Adult/Children	301 1st Avenue E., Oskaloosa, IA 52577	1-641-673-0410 Website: www.scbhr.org
Wapello County Community Services Office	Adult/Children	102 E. Main, Ottumwa Iowa 52501	1-641-683-4576 Website: www.scbhr.org
Southern Iowa Mental Health Center	Adult/Children	1527 Albia Rd, Ottumwa, IA 52501 1229 C Avenue East, Oskaloosa, IA 52577	1-641-682-8772 Website: https://www.simhcottumwa.org/ 641-672-3159
Community Health Centers of Southern Iowa	Adult/Children	302 NE 14th Street, Leon, IA 50144	(641) 446-2383 Website: http://chcsi.org/
Amerigroup	Adults/Children	PO Box 71099, Clive, IA 50325	1-800-600-4441 TTY: 711 Website: http://www.myamerigroup.com/IA
Iowa Total Care	Adults/Children	1080 Jordan Creek Parkway, West Des Moines, Iowa 50266	1-833-404-1061 (TTY: 711) Website: https://www.iowatotalcare.com/contact-us.html
DHS Case Management	Adults	400 SW 8th St, Des Moines, IA 50319	515-242-6877

C. Crisis Planning

The following accredited crisis services are available to residents of the region for crisis prevention, response and resolution.

24 Hour Crisis Response

Provider	Location	Contact Information
Southern Iowa Mental Health Center	1527 Albia Rd, Ottumwa, IA 52501 1229 C Avenue East, Oskaloosa, IA 52577	1-641-682-8772 Website: https://www.simhcottumwa.org/
Community Health Centers of Southern Iowa	302 NE 14th Street, Leon, IA 50144	(641) 446-2383 Website: http://chcsi.org/
Mercy Medical Centerville Iowa	1 Old Hwy 5, Centerville, IA 52544	(641) 437-4111 Website https://www.mercyone.org/centerville/

Mahaska County Hospital	1229 C Avenue East Oskaloosa, Iowa 52577	641.672.3100 Website: http://www.mahaskahealth.org/
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24 Hour Crisis Hotline

Provider	Location	Contact Information
Southern Iowa Mental Health Center	1527 Albia Rd, Ottumwa, IA 52501	1-641-682-8772 Website: https://www.simhcottumwa.org/
Foundation 2	1714 Johnson Ave NW Cedar Rapids, IA 52405	1-800-332-4224, 319-362-2174 1-844-430-8520 Website: https://www.foundation2.org/

Crisis Evaluation

Provider	Adult/Child/Both	Location	Contact Information
Southern Iowa Mental Health	Adult/Children	1527 Albia Rd, Ottumwa, IA 52501 1229 C Avenue East, Oskaloosa, IA 52577	1-641-682-8772 Website: https://www.simhcottumwa.org/
Community Health Centers of Southern Iowa	Adult/Children	302 NE 14th Street, Leon, IA 50144	(641) 446-2383 Website: http://chesi.org/

Mobile Response

Provider	Location	Contact Information
Southern Iowa Mental Health	1527 Albia Rd, Ottumwa, IA 52501	1-844-430-8520 Website: https://www.simhcottumwa.org/

Crisis Stabilization Community-based Services

Provider	Adult/Child	Location	Contact Information
N/A			

Crisis Stabilization Residential Services

Provider	Adult/Child	Location	Contact Information
Southern Iowa Mental Health	Adult	1527 Albia Rd, Ottumwa, IA 52501	641-682-8772 Website: https://www.simhcottumwa.org/

23-Hour Observation

Provider	Location	Contact Information
Southern Iowa Mental Health	1527 Albia Rd, Ottumwa, IA 52501	641-682-8772 Website: https://www.simhcottumwa.org/

SCBHR honors all Regional contracts for Crisis Services to provide access to our SCBHR clients when in need of the Crisis Services wherever the location.

D. Intensive Mental Health Services

The region has designated the following provider(s) as an **Access Center** that meet the requirements according to IAC 441—25.6(1) in a coordinated manner in one or more locations:

Provider	Location	Contact Information
N/A		

The region has designated the following **Assertive Community Treatment (ACT)** teams which have been designated and meet the requirements in IAC 441—25.6(2).

Provider	Location	Contact Information
N/A		

The region has designated the following **Subacute** service providers which meet the criteria and are licensed by the Department of Inspections and Appeals.

Provider	Location	Contact Information
N/A		

The region has designated the following **Intensive Residential Service** providers which have been designated and meet the requirements in IAC 441—25.6(8):

Provider	Location	Contact Information
N/A		

E. Scope of Services & Budget and Financing Provisions

The table below identifies the scope of services offered by SCBHR. All core services are available. If there is not funding identified in a core service column, it is because it has not been needed historically. If an individual needs funding for a core service and meets eligibility requirements *found in section F of the SCBHR policy and procedure manual*, funding will be made available. Core services for children's behavioral health are identified by (**) after the service name. Eligibility guidelines for children's behavioral health services are located in *section F, page 14 of the SCBHR policy and procedure manual*. The Policy and procedure manual can be found online as of 07/01/2020 at: www.scbhr.org

The region will utilize braided funding that includes county, regional, state and other funding sources as necessary and available to meet the service needs within the region.

Table A. Expenditures

FY 2021 Budget	SCBHR	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total
Core Domains							
COA	Treatment						
42305	Mental health outpatient therapy **	\$ 10,000					\$ 10,000
42306	Medication prescribing & management **						\$ -
43301	Assessment, evaluation, and early identification **	\$ 10,000					\$ 10,000
71319	Mental health inpatient therapy-MHI	\$ 50,000					\$ 50,000
73319	Mental health inpatient therapy **	\$ 100,000					\$ 100,000
	Crisis Services						
32322	Personal emergency response system						\$ -
44301	Crisis evaluation	\$ 120,000					\$ 120,000
44302	23 hour crisis observation & holding	\$ 250,000					\$ 250,000
44305	24 hour access to crisis response						\$ -
44307	Mobile response **	\$ 200,000					\$ 200,000
44312	Crisis Stabilization community-based services **						\$ -
44313	Crisis Stabilization residential services **	\$ 250,000					\$ 250,000
44396	Access Centers: start-up / sustainability						\$ -
	Support for Community Living						
32320	Home health aide						\$ -
32325	Respite						\$ -
32328	Home & vehicle modifications						\$ -
32329	Supported community living	\$ 300,000					\$ 300,000
42329	Intensive residential services						\$ -
	Support for Employment						
50362	Prevocational services						\$ -
50364	Job development	\$ 5,000					\$ 5,000
50367	Day habilitation						\$ -
50368	Supported employment	\$ 2,500					\$ 2,500
50369	Group Supported employment-enclave						\$ -
	Recovery Services						
45323	Family support						\$ -
45366	Peer support						\$ -
	Service Coordination						
21375	Case management						\$ -
24376	Health homes						\$ -
	Sub-Acute Services						
63309	Subacute services-1-5 beds	\$ 50,000					\$ 50,000

64309	Subacute services-6 and over beds						\$ -
	Core Evidenced Based Treatment						
04422	Education & Training Services - provider competency						\$ -
32396	Supported housing	\$ 175,000					\$ 175,000
42398	Assertive community treatment (ACT)	\$ 100,000					\$ 100,000
45373	Family psychoeducation	\$ 10,000					\$ 10,000
	Core Domains Total	\$ 1,632,500	\$ -	\$ -	\$ -		\$ 1,632,500
Mandated Services							
46319	Oakdale	\$ 2,500					\$ 2,500
72319	State resource centers						\$ -
74XXX	Commitment related (except 301)	\$ 107,000					\$ 107,000
75XXX	Mental health advocate	\$ 80,000					\$ 80,000
	Mandated Services Total	\$ 189,500	\$ -	\$ -	\$ -		\$ 189,500
Additional Core Domains							
	Justice system-involved services						
25xxx	Coordination services						\$ -
44346	24 hour crisis line*	\$ 40,000					\$ 40,000
44366	Warm line*	\$ 15,000					\$ 15,000
46305	Mental health services in jails	\$ 250,000					\$ 250,000
46399	Justice system-involved services-other						\$ -
46422	Crisis prevention training	\$ 20,000					\$ 20,000
46425	Mental health court related costs						\$ -
74301	Civil commitment prescreening evaluation						\$ -
	Additional Core Evidenced based treatment						
42366	Peer self-help drop-in centers	\$ 560,000					\$ 560,000
42397	Psychiatric rehabilitation (IPR)						\$ -
	Additional Core Domains Total	\$ 885,000	\$ -	\$ -	\$ -		\$ 885,000
Other Informational Services							
03371	Information & referral						\$ -
04372	Planning, consultation &/or early intervention (client related) **	\$ 229,100					\$ 229,100
04377	Provider Incentive Payment						\$ -
04399	Consultation Other						\$ -
04429	Planning and Management Consultants (non-client related)	\$ 48,000					\$ 48,000
05373	Public education, prevention and education **	\$ 72,000					\$ 72,000
	Other Informational Services Total	\$ 349,100	\$ -	\$ -	\$ -		\$ 349,100
Community Living Supports							

06399	Academic services						\$ -
22XXX	Services management	\$ 387,581					\$ 387,581
23376	Crisis care coordination						\$ -
23399	Crisis care coordination other						\$ -
24399	Health home other						\$ -
31XXX	Transportation	\$ 15,000					\$ 15,000
32321	Chore services						\$ -
32326	Guardian/conservator						\$ -
32327	Representative payee						\$ -
32335	CDAC						\$ -
32399	Other support						\$ -
33330	Mobile meals						\$ -
33340	Rent payments (time limited)						\$ -
33345	Ongoing rent subsidy	\$ 35,000					\$ 35,000
33399	Other basic needs	\$ 8,000					\$ 8,000
41305	Physiological outpatient treatment	\$ 250					\$ 250
41306	Prescription meds	\$ 30,000					\$ 30,000
41307	In-home nursing						\$ -
41308	Health supplies						\$ -
41399	Other physiological treatment						\$ -
42309	Partial hospitalization						\$ -
42310	Transitional living program						\$ -
42363	Day treatment						\$ -
42396	Community support programs						\$ -
42399	Other psychotherapeutic treatment						\$ -
43399	Other non-crisis evaluation						\$ -
44304	Emergency care						\$ -
44399	Other crisis services						\$ -
45399	Other family & peer support						\$ -
46306	Psychiatric medications in jail	\$ 70,000					\$ 70,000
50361	Vocational skills training						\$ -
50365	Supported education						\$ -
50399	Other vocational & day services						\$ -
63XXX	RCF 1-5 beds (63314, 63315 & 63316)						\$ -
63XXX	ICF 1-5 beds (63317 & 63318)						\$ -
63329	SCL 1-5 beds						\$ -
63399	Other 1-5 beds						\$ -
	Community Living Supports	\$ 545,831	\$ -	\$ -	\$ -		\$ 545,831
Other Congregate Services							
50360	Work services (work activity/sheltered work)						\$ -
64XXX	RCF 6 and over beds (64314, 64315 & 64316)	\$ 150,000					\$ 150,000
64XXX	ICF 6 and over beds (64317 & 64318)						\$ -
64329	SCL 6 and over beds						\$ -
64399	Other 6 and over beds						\$ -
	Other Congregate Services Total	\$ 150,000	\$ -	\$ -	\$ -		\$ 150,000
Administration							

11XXX	Direct Administration					601,628	\$ 601,628
12XXX	Purchased Administration						\$ -
	Administration Total					\$ 601,628	\$ 601,628
	Regional Totals	\$ 3,751,931	\$ -	\$ -	\$ -	\$ 601,628	\$ 4,353,559
(45XX-XXX)County Provided Case Management							\$ -
(46XX-XXX)County Provided Services							\$ -
	Regional Grand Total						\$ 4,353,559

Transfer Numbers (Expenditures should only be counted when final expenditure is made for services/administration. Transfers are eliminated from budget to show true regional finances)

13951	Distribution to MHDS regional fiscal agent from member county	\$ 2,661,885
14951	MHDS fiscal agent reimbursement to MHDS regional member county	\$ -

*24 hour crisis line and warm line are transitioning from additional core to state wide core services with state funding.

**Core services for children with a serious emotional disturbance (SED)

Table B. Revenues

FY 2021 Budget	South Central Behavioral Health Region		
Revenues			
	Projected Fund Balance as of 6/30/20		\$ 3,152,913
	Local/Regional Funds		\$ 3,718,998
10XX	Property Tax Levied	-	
12XX	Other County Taxes	-	
16XX	Utility Tax Replacement Excise Taxes	-	
25XX	Other Governmental Revenues	-	
4XXX-5XXX	Charges for Services	-	
5310	Client Fees	-	
60XX	Interest	-	
6XXX	Use of Money & Property	-	
8XXX	Miscellaneous	-	
9040	Other Budgetary Funds (Polk Only)	-	
		-	
	State Funds		\$ -
21XX	State Tax Credits	-	
22XX	Other State Replacement Credits	-	
2250	MHDS Equalization	-	
24XX	State/Federal pass thru Revenue	-	
2644	MHDS Allowed Growth / State Gen. Funds	-	
29XX	Payment in Lieu of taxes	-	
		-	
	Federal Funds		\$ -
2344	Social services block grant	-	
2345	Medicaid	-	
	Other	-	
	Total Revenues		\$ 3,718,998

Total Funds Available for FY21	\$	6,871,911
FY21 Projected Regional Expenditures	\$	4,353,559
Projected Accrual Fund Balance as of 6/30/21	\$	2,518,352

Table E. County Levies

County	2018 Est. Pop.	Regional Per Capita	FY21 Max Levy	FY21 Actual Levy	Actual Levy Per Capita
Appanoose	12,437	47.28	588,021	\$ 588,021	47.28
Davis	9,017	47.28	426,324	\$ 426,324	47.28
Mahaska	22,000	47.28	1,040,160	\$ 1,040,160	47.28
Wapello	35,205	47.28	1,664,492	\$ 1,664,492	47.28
SCBHR	78,659		3,718,998	3,718,997	47.28

G. Financial Forecasting Measures

The following is a description of the financial forecasting measures used by SCBHR in the identification of service need and funding necessary for services.

SCBHR, will collaborate with stakeholders and advisory committee members throughout FY21 to determine service needs of the community and training needs of the providers. Due to the reduction of fund balance in FY19 and FY20 SCBHR has not budgeted in FY21 to build new services to include Community Based Stabilization for Children/Adults, Crisis Residential Beds for Children and Intensive Residential Homes for Adults. At current all counties are levying the max at \$47.28 counties are setting at 24-25% fund balance. Due to this the region will be projected to use approximately a million dollars of regional fund balance one-time money to cover FY21 expenditures. SCBHR is analyzing the DHS identified braid funding sources as the region has subsidized development, access to service, and sustainability. Financial sustainability is being called into question as related to fee for service structures and the cost settled rates based on the actual cost to operate services for which the region is absorbing all cost. The region's goal to sustain the service system at current with the hopes that Managed Care Organizations will start funding Medicaid services to relieve the region of 100% cost of Crisis Services. If this were to happen the Advisory Committee and stakeholders would then begin the prioritizing of building additional core services due by July 2021. At current the region has met the core service timeline both for adult core services and children core services.

H. Provider Reimbursement Provisions

The following is a description of the types of funding used by SCBHR

- Fee for service:
SCBHR will contract with MHDS providers whose base of operation is within the region. SCBHR may also honor contracts that other regions have with their local providers or may choose to contract with providers outside of the region. A contract may not be required with providers that provide one-time or as needed services. A fee for service approach will be utilized in most provider contracts outlining the services to be provided and the rate of reimbursement. All payments will be based on a pre-authorized request for service authorization with the exception of court ordered payments, such as civil commitment costs and crisis services.
- Block grant payments:
SCBHR currently funds Southern Iowa Mental Health Center, First Resources and Optimae under the payment provision of a block grant. Services rendered include Drop-in Centers in Appanoose, Davis, Mahaska and Wapello Counties. Southern Iowa Mental Health Center receives block grant dollars to support Planning and or Consultation Services (client), Planning and Management Consultants (non-client) and Public Education Service block grant dollars. SCBHR uses block grant dollars to off-set the cost of the Assertive Community Treatment

Team through Southern Iowa Mental Health Center along with the short-fall for Crisis Services offered at Southern Iowa Mental Health Center.

- **Use of nontraditional providers:**
When a non-traditional provider arrangement is more appropriate than a fee for service approach with a contracted provider, the Coordinators of Disability Services will work with the region's CEO to request such arrangements. A non-traditional provider may be an individual, organization and/or business who delivers services in the consumer's home and/or other community setting. Non-traditional providers typically are individuals, organizations, or businesses which do not provide MHDS services as a part of their normal business. These services are not to provide treatment but are supportive and may be rehabilitative in focus, and are initiated when there is a reasonable likelihood that such services will benefit the consumer's functioning, assist them in maintaining community tenure, and act as an alternative way to achieve the consumer's stated goals or outcomes. A request for funding can be made by any consumer, or the consumer's authorized representative, to utilize non-traditional providers for services as approved in the Regional Management Plan. Non-traditional providers may be subject to certain licensing, certification, accreditation or other state approval standards.
- **Incorporation of all funding sources for individuals including Medicaid:**
The Region is responsible for ensuring that MHDS services are available to all residents of the region regardless of funding source. SCBHR will ensure that individuals apply for all funding sources prior to accessing regional funding, including private insurance, Medicaid, Medicare and other funding mechanisms which ensure that individuals and their families are able to optimally live, work, and recreate in integrated communities of their choice.